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Light Green is "Proficient"	Pink is "Excelling"			
	Goal	Not Evident	Emerging	Proficient
	The institution has a formal assessment plan that documents an organized, sustained assessment process covering all major administrative units, student support services, and academic programs.	There is no overall institutional plan for assessment. Assessment may be conducted at the institution, but when it occurs, it is completed on an ad hoc basis, perhaps in response to specific challenges.	Some, but not all functional areas/units conduct assessment systematically and these have policies and plans that pertain to assessment within the area/unit; there is no coordination of or standards for assessment set by the institution.	All functional areas/units conduct assessment systematically and may have written policies to guide the process. There is no overall institutional plan that serves to coordinate use of assessment data to improve institutional effectiveness.
	Measurable outcomes have been articulated for the institution as a whole and within functional areas/units, including for courses and programs and nonacademic units.	Outcomes either have not been written, or where they do exist, they are not stated in ways that directly suggest how to measure them.	Some but not all units have their own outcomes statements. For example, academic affairs may have identified student learning outcomes, but no other units have identified outcomes.	All units have outcomes statements, but not all of these are stated in terms that link to measurement operations.

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	More specific subordinate outcomes (e.g., course) are aligned with broader, higher- level outcomes (e.g., program) within units and these are aligned with the institutional mission, goals, and values.	Course/program or other functional area outcomes, when present, are not mapped to or aligned with higher level outcomes nor are they shown to be related to institutional mission, goals, and values.	Alignment of outcomes has been achieved in some but not all areas/units.	Alignment of lower level outcomes to higher level outcomes within areas/units is mostly complete. Alignment of higher levels unit outcomes to institutional mission, goals, and values is not complete.
	Financial, human, technical, and/or physical resources are adequate to support assessment.	No resources are available to support assessment.	Resources to support assessment are handled on an ad hoc basis.	There is budgetary support of assessment activities within units that conduct assessment, but there is no overall institutional plan for providing the full range of resources to support assessment.

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Implementation: Culture	Goal All members of the faculty and staff are involved in assessment activities.	Not Evident Assessment, if occurring, is done by lone individuals charged with assessment responsibilities.	Some units involve faculty/staff	Proficient All units involve all faculty/staff in some aspect of assessment, planning data collection, and/or review of data.
	Data from multiple sources and measures are considered in assessment.	Assessment data are not collected.	Assessment data are collected in one or more units but consists primarily of survey results and/or anecdotal evidence.	All units collect some combination of direct and indirect evidence to assess performance.

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Implementation: Sustainability	Assessment is conducted	The institution cannot	The institution can document that sustainable assessment activity is regularly occurring within several units of the institution, but assessment practices are either not universal or not sustainable for the long term.	Assessment is routinely conducted in most, if not all, units. The sustainability of the assessment activity varies in terms of how regularly it occurs or in how systematically outcomes/goals are assessed. Assessment activity is becoming a regular part of each unit's functioning.
				Tunctioning.

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Implementation: Monitoring	Mechanisms are in place to systematically monitor the implementation of the assessment plan.	There is little or no evidence that the institution has in place or is developing effective systematic monitoring of the quality and implementation of assessment activities within and across units.		Systematic monitoring of the quality and implementation of assessment activities is occurring within most, if not all, units. The institution has begun establishing a means for ensuring that all units regularly conduct and report assessment activities.
Impact: Communication	Assessment results are readily available to all parties with an interest in them.	Assessment results, if they exist, "live" in the individual unit and are not broadly communicated.	shared with others on an as- needed basis.	Units within the institution share assessment results routinely with each other or make them accessible to others within the institution. Public disclosure of appropriate assessment data is limited.
	Assessment data are routinely considered in strategic planning and budgeting.	Assessment data stay within the area in which they were collected. They do not factor into institutional strategic planning and budgeting.	One or more units use assessment results in budgetary requests and/ or to inform strategic planning.	Assessment data are used in strategic planning and budgeting, but there is no clear mechanism in place to ensure this is accomplished routinely.

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Impact: Closing the Loop	Assessment data have been used	There is little or no evidence that	There is evidence that	There is evidence that all
	for institutional improvement.	assessment results are used for	assessment results are	units regularly use assessment
		institutional improvement.	occasionally used for	results to inform improvements.
			institutional improvement.	

This template has been adapted by one used by the SUNY Council on Assessment

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	Goal	Excelling	Evidence Reviewed	Findings
Design: Plan	The institution has a formal assessment plan that documents an organized, sustained assessment process covering all major administrative units, student support services, and academic programs.	There is a written plan that specifies responsibility for conducting assessment at both unit and institution levels and that identifies reporting timelines and procedures. The plan also indicates how assessment data is channeled into the strategic planning and budgeting process.		OIRA currently drafting and Institutional Effectiveness Plan. First draft expected July 1st
Design: Outcomes	Measurable outcomes have been articulated for the institution as a whole and within functional areas/units, including for courses and programs and nonacademic units.			Annual Assessment Report Template has been introduced across all divisions. Also being adopted by the SL-CE committee, and possibly other college-wide committees including the CDAC. Individual and group work with CEWD, IA, SDEM, and ADFIN has led to high quality goals and associated activities (Report Table 1). OAA will focus on student learning assessment (Report Table 2) and address goals and activities during AY 2020/2021. ILOs have been created and finalized.

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	Goal	Excelling	Evidence Reviewed	Findings
Design: Alignment	More specific subordinate outcomes (e.g., course) are aligned with broader, higher- level outcomes (e.g., program) within units and these are aligned with the institutional mission, goals, and values.	All units indicate how their outcomes are aligned with institution mission, goals, and values. Alignment within units is specific and appropriate to the unit and its role in the institution. Alignment of outcomes indicates a strong sense of shared purpose within the institution.		All goals will be aligned to SP or ILOs upon submission of Annual Assessment Reports at the end of the 19/20 school/fiscal year. ILO/Gen Ed alignment in process, aiming to complete by end of Spr20 semester (to be followed by alignment with Pathways outcomes).
Implementation: Resources	Financial, human, technical, and/or physical resources are adequate to support assessment.	The institution and each area/unit has made a commitment to assessment and provides all necessary resources for assessment.	(see baseline)	AY 2019-2020 analysis suggests need for Dean for Academic Assessment and general resources to support capacity building (including project management, data management, culture building and professional development)

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Implementation: Culture	All members of the faculty and staff are involved in assessment activities.	All members of the university community are involved in assessment activities in their respective units. Institution leaders frequently articulate assessment as an important value/activity of the institution.		Institutional Effectiveness Committee has met, been charged, and held two meetings in Spr20 (see Sustainability for current and upcoming actions) Assistant Dean of IE has been working with consultant to develop supplemental workshop schedule to support ongoing implementation of annual assessment, and PD for IEC members, for AY 2020-2021. Still need to develop regular modes of communication and celebration, most likely originating from IEC, OAA, President.
Implementation: Data Focus	Data from multiple sources and measures are considered in assessment.	Assessment is based on, where appropriate, multiple measures of performance, including direct and indirect measures and quantitative and qualitative data.	(see baseline)	Workshops are being planned for Spring 2020 (with pilot units) and Fall 2020 (with all units) on running an assessment project, with both a qualitative and quantitative data focus.

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Goal	Excelling	Evidence Reviewed	Findings
Goal   Implementation: Sustainability Assessment is condurregularly, consistent manner that is sustate the long term.	IctedAssessment is routinelytly, and in aconducted in all appropriate	(see baseline) n y n	FindingsFirst reports due end of 19/20 academic/fiscal year.IEC AES Subcommittee will work over the summer to support development of AES assessment plans and reports.IEC will analyze in Fall 20IEC will communicate up/down mid-late FallSharePoint site under construction, exploration of digital platforms to support annual assessment underway.Still need to pilot first ILO/Gen Ed assessment

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Implementation: Monitoring	Mechanisms are in place to systematically monitor the implementation of the assessment plan.	There is evidence of systematic monitoring of the quality and implementation of assessment activities within all units. The institution has an established mechanism for monitoring unit compliance with institutional assessment policies.		OIRA currently drafting organizational chart for institutional effectiveness and building out potential subcommittees and supports for IEC. Still need to provide professional development for IEC; needs will likely emerge from April meeting.
Impact: Communication	Assessment results are readily available to all parties with an interest in them.	Assessment results are disseminated to appropriate audiences at appropriate times; data appropriate to external audiences are available in easily accessible public domains; data needed for internal decision making are readily accessible to decision makers.	(see baseline)	Still need to draft a plan for this repository and regular communication about assessment.
	Assessment data are routinely considered in strategic planning and budgeting.	Institution is able to demonstrate that strategic planning and budgeting processes have routinely used assessment data in decision making.	(see baseline)	IEC will analyze reports and communicate resource needs mid-late Fall.

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	Goal	Excelling	Evidence Reviewed	Findings
Impact: Closing the Loop	Assessment data have been used for institutional improvement.	There is an institutional commitment to using assessment results to inform improvements; all units regularly use assessment data to close the loop; the institution presents evidence that assessment results, including student learning assessment, are routinely used	(see baseline)	Proposed actions in Y1 should be submitted as Activities in Y2. First example of this will be AY 2020-2021 Assessment Reports (first three columns)
		for institutional improvement, effectiveness and planning.		

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	Goal	Actions
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Design: Outcomes	Measurable outcomes have been articulated for the institution as a whole and within functional areas/units, including for courses and programs and nonacademic units.	Ensure goal/outcome quality cross college, create ILOs

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Design: Alignment	More specific subordinate outcomes (e.g., course) are aligned with broader, higher- level outcomes (e.g., program) within units and these are aligned with the institutional mission, goals, and values.	Align outcomes to SP, ILOs, Gen Ed
Implementation: Resources	Financial, human, technical, and/or physical resources are adequate to support assessment.	Allocate resources to support with full transparency

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Implementation: Culture		Build committees, workshops,
	staff are involved in assessment	communication, celebration
	activities.	
Implementation: Data Focus	Data from multiple sources and	Recognize, support, reframe and
	measures are considered in	grow this strength
	assessment.	0

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	Goal	Actions
Implementation: Sustainability		Actions Begin standardized/aligned cross- college annual reporting

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	Goal	Actions
Implementation: Monitoring	Mechanisms are in place to systematically monitor the implementation of the assessment plan.	Create clear accountability structure (up, down, side, out)
Impact: Communication	Assessment results are readily available to all parties with an interest in them.	Create college-wide repository, some publicly accessible
	Assessment data are routinely considered in strategic planning and budgeting.	Connect assessment to operations plan to budgeting

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	Goal	Actions
Impact: Closing the Loop	Assessment data have been used for institutional improvement.	Ensure actions taken as result of findings

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